Curriculum Vitae ARUN AGRAWAL

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Education	Ph.D., Political Science, Duke University, 1986-1992 M.A., Political Science, Duke University, 1986-88 M.B.A., Indian Institute of Management, Ahmadabad, India, 1983-85 (Specialization in Development Administration and Public Policy) B.A., History, Delhi University, India, 1980-83.
Positions	 2013 onward: Editor-in-Chief, <i>World Development</i> 2009-11: Research Associate Dean, University of Michigan, School of Natural Resources and Environment (SNRE) 2008 onwards: Professor, University of Michigan, SNRE (Sabbatical 2011-12) 2003-2008. Associate Professor, University of Michigan, SNRE. Coordinator, International Forestry Resources and Institutions (IFRI), 2006 onward. Associate Professor, and William Dawson Scholar (after January 2003), McGill University, Department of Political Science and McGill School of Environment 2002-2003 2000-2002: Associate Professor, Yale University, Department of Political Science 1997-2002: Assistant Professor, Yale University, Department of Political Science 1993-1997: Assistant Professor, University of Florida, Gainesville, January
Major Projects	International Forestry Resources and Institutions Research Program (Comparative study of local institutions and forest governance in 12 countries in south Asia, East Africa, and Latin America) [www.umich.edu/~ifri] -Central African Forests Initiative (Comparative study of logging and environmental governance in Cameroon and Republic of Congo) [www.umich.edu/~cafi] -ICARUS – Initiative on Climate Adaptation Research and Understanding through the Social Sciences [www.icarus.info] -SPARCS – Studying Poverty, Agricultural Risks and Coping Strategies (Comparative of climate and environmental risk responses of agricultural households in developing countries).
H-Index	ISI, Web of Science – 27; Google Scholar – 49; Total Citations ISI > 3,000; Google Scholar > 16,000
Publications	<u>Authored Books</u> Agrawal, A. 2005. Environmentality: Technologies of Government and the Making of Subjects (Duke University Press; Oxford University Press, Delhi). rpt. 2006. Agrawal, A. 1999. Greener Pastures: Politics, Markets, and Community among a Migrant Pastoral People. Durham NC: Duke University Press. (Jointly published by Oxford University Press, New Delhi).
	<u>Monograph</u> Agrawal, A. with Charla Britt and K. Kanel. 1999. Decentralization in Nepal: A Comparative Perspective. San Francisco: ICS Press.
	<u>Edited Collections</u> Agrawal, A., L. Wollenberg, and L, Persha. 2014. Governing Mitigation in Agriculture-Forest Landscapes. <i>Global Environmental Change</i> . 29: 270-326. Agrawal, A . and V. Saberwal. 2005. The Future of Nomadic Agro-Pastoralism in South Asia. Special issue, <i>Nomadic Peoples</i> .

Sivaramakrishnan, K. and **A. Agrawal.** 2003. *Regional Modemities: The Cultural Politics of Development*. Stanford University Press. (Also published jointly by Oxford University Press, New Delhi in Spring 2003). **Agrawal, A.** (ed). 2002. *Indigenous Knowledge*. Invited editor of a Special Issue of *International Social Science Journal* (September 173). (Published in English, French, and Spanish by UNESCO). **Agrawal, A.**, and C. C. Gibson (eds). 2001. *Communities and the Environment: Ethnicity, Gender, and the State in Community-Based Conservation*. Rutgers University Press (With Clark Gibson). **Agrawal, A.** and K. Sivaramakrishnan. 2000. *Agrarian Environments: Resources, Representations and Rule in India*. Duke University Press. (Also published by Oxford University Press, New Delhi as *Social Nature: Resources, Representations, and Rule in India*).

Articles in Refereed Publications (students and mentees with asterisk against name)

64. Arun Agrawal, Elisabeth Gerber, Ashwini Chhatre. **Forthcoming**. Motivational Crowding in Sustainable Development Interventions: Assessing the effects of multiple treatments. *American Political Science Review*.

63. Arun Agrawal and Maria Lemos. 2015 **Forthcoming**. Adaptive Development. *Nature Climate Change*.

62. Arun Agrawal, Lini Wollenberg, Lauren Persha. 2014. Governing agriculture-forest landscapes for climate change mitigation. *Global Environmental Change*. 29: 270-280.

61. *Jodi Brandt, *Christoph Nolte, *Jessica Steinberg, and Arun Agrawal. 2014. Foreign capital, forest change and regulatory compliance in Congo Basin forests. *Environment Research Letters*.9(4): 044007.
60. Adhikari, B. and Arun Agrawal. 2014. Understanding the social and ecological outcomes of PES projects: A review and an analysis. *Conservation and Society* 11(4): 359.

59. Agrawal, Arun. 2014. Studying the commons, governing common-pool resource outcomes. *Environmental Science and Policy* 36: 86-91. <u>http://dx.doi.org/10.1016/j.envsci.2013.08.012</u>

58. *Peter Newton, Arun Agrawal, Lini Wollenberg. 2013. Enhancing the sustainability of agricultural and commodity supply chains on agriculture-forest frontiers. *Global Environmental Change* 23: 1761-1772
57. Daniel G. Brown, Arun Agrawal, Daniel Sass, *Jun Wang, Jin Hua, Yichun Xie.2013. Social Responses to Climate Risks and Opportunities across National and Ecological Boundaries: Changing Household Strategies on the Mongolian Plateau. *Environmental Research Letters*. 8(4): doi:10.1088/1748-9326/8/4/045011

56. Wang, Jun*, Daniel Brown, **Arun Agrawal**. 2013. Climate adaptation, rural institutions, and local livelihoods: A comparative study of herder communities in Mongolia and Inner Mongolia, China. *Global Environmental Change*. 23(6): 1673–1683

55. *Nolte, C., Agrawal, A., & Barreto, P. (2013). Setting priorities to avoid deforestation in Amazon protected areas: are we choosing the right indicators? *Environmental Research Letters*, 8(1): 015039.
54. Nolte, Christoph*., Arun Agrawal, B. Soares-Filho, K. Silvius. 2013. Governance regime and location influence avoided deforestation success of protected areas in the Brazilian Amazon. *PNAS* doi/10.1073/pnas.1214786110.

53. Christoph Nolte* and **Arun Agrawal**. 2013. Linking Management Effectiveness Indicators of Protected Areas to Effects on Fire Occurrence in the Amazon Rainforest. *Conservation Biology* DOI: 10.1111/j.1523-1739.2012.01930.x

53. DeFries, Ruth S., Erle C. Ellis, F. Stuart Chapin III, Pamela A. Matson, B. L. Turner, **Arun Agrawal**, Paul J. Crutzen et al. 2012. Planetary opportunities: a social contract for global change science to contribute to a sustainable future. *BioScience* 62(6): 603-606.

52. Agrawal, Arun, Nicolas Perrin, Ashwini Chhatre, Catherine Benson*, and Minna Kononen. 2012. Climate Policy Processes, Local Institutions, and Adaptation Actions: Mechanisms of Translation and Influence. *WIREs Climate Change* 3(6), 565-579

51. Arun Agrawal, Daniel Brown, Michael Bommarito*, Rick Riolo, Derek Robinson, and Gautam Rao*.2012. Interactions between organizations and networks in common-pool resource governance: An agent-based modeling approach. *Environmental Science and Policy* 25: 138-46.

50. Daniel Miller*, **Arun Agrawal**, J. Timmons Roberts. 2012. Biodiversity, Governance, and the Allocation of International Aid for conservation. *Conservation Letters* DOI: 10.1111/j.1755-263X.2012.00270.x

49. Agrawal, A., Lemos, M., Orlove, B., Ribot, J. 2012. Cool heads for a hot world: Social sciences under a changing sky. *Global Environmental Change*. 22(2): 329-31

48. Bell, A.*, Riolo, R. L., Doremus, J.* M., Brown, D. G., Lyon, T. P., Vandermeer, J., and **Agrawal, A**. 2012. Fragmenting forests: The double edge of effective forest monitoring. *Environmental Science and*

Policy 16: 22-30.

47. Arun Agrawal, Daniel Nepstad, and Ashwini Chhatre.2011. Reducing Emissions from Deforestation and forest Degradation. *Annual Review of Environment and* Resources 76: 373-96.

46. Andersson, K. and **A. Agrawal.** 2011. Inequalities, institutions, and forest commons. *Global Environmental* Change 21(3): 866-75.

45. Arun Agrawal. 2011. A positive side of disaster. Nature 473: 291-292.

44. Persha, L.*, **A. Agrawal**, and A. Chhatre. 2011. Social and Ecological Synergy: Local Rulemaking, Forest Livelihoods and Biodiversity Conservation. *Science* 331(6024): 1606-08.

43. Agrawal, A. and C. S. Benson*. 2011. Common property theory and resource governance institutions: Strengthening the explanations of multiple outcomes. *Environmental Conservation* 38(2): 199-210. doi: 10.1017/S0376892910000925

42. Agrawal, A. and A. Chhatre. 2011. Strengthening causal inference through qualitative analysis of regression residuals: Explaining forest governance in the Indian Himalaya. *Environment and Planning A* 43: 328-46.

41. Agrawal, A. and A. Chhatre. 2011. Against mono-consequentialism: Multiple outcomes and their drivers in social-ecological systems. *Global Environmental Change* 21: 1-3.

40. Persha, L., H*. Fischer, A. Chhatre, **A. Agrawal**, and C. Benson*. 2010. "Biodiversity conservation and livelihoods in human dominated landscapes: Forest commons in South Asia. *Biological Conservation* 143(12): 2918-25. [Online] URL: doi:10.1016/j.biocon.2010.03.003

39. Sandbrook, C., F. Nelson, W. D. Adams, and **A. Agrawal**. 2010. Forests, carbon, and the REDD paradox. *Oryx* 44(3): 330-39. With comment by S. Wunder and response.

38. Phelps, J., E. Webb, and **A. Agrawal**. 2010. Does REDD+ threaten to recentralize forest governance? *Science* 328: 312-13.

37. Agrawal, A. 2009. Why "Indigenous" Knowledge? *Journal of the Royal Society of New Zealand* 34(4): 157-58.

36. Chhatre A. and **A. Agrawal**. 2009. Tradeoffs and synergies between carbon storage and livelihood benefits from forest commons. *PNAS* 106(42): 17667-17670.

35. Agrawal, A. and K. Redford. 2009. Conservation and displacement: An overview. *Conservation and Society* 7(1): 1-10.

34. Agrawal, A. and K. Redford. 2009. Place, conservation, and displacement. *Conservation and Society* 7(1): 56-58.

33. Nelson, F.* and **A. Agrawal**. 2008. Patronage or participation: Community-based natural resource management reform in Sub-Saharan Africa. *Development and Change* 39(4): 557-85.

32. Chhatre, A. and **A. Agrawal**. 2008. Forest commons and local enforcement. *Proceedings of the National Academy of Sciences (PNAS)* 105(36): 13286-91.

31. Agrawal, A., A. Chhatre, and R. D. Hardin. 2008. Changing governance of the world's forests. *Science* Vol. 320, (issue 5882, June 13): 1460-62.

30. Agrawal, A. and A. Chhatre. 2007. Institutions, co-governance, and forests in the Indian Himalaya. *Studies in Comparative International Development* 42:67-86.

29. Wollenberg, E., L. Merino, **A. Agrawal**, and E. Ostrom. 2007. Fourteen years of monitoring community-managed forests. *International Forestry Review* 9(2): 670-84.

28. Agrawal, A. 2007. Forests, governance, and sustainability: Common property theory and its contributions. *International Journal of the Commons* 1(1): 51-76.

27. Ribot, J., **A. Agrawal**, and A. Larson. 2006: Decentralization/Recentralization: How national governments maintain control over forest resources. *World Development* 34(11): 1864-86.

26. Lemos, Maria Carmen and **A. Agrawal**. 2006: Environmental governance. *Annual Review of Environment and Resources* 31: 297-325.

25. Agrawal, A. and E. Ostrom. 2006. Political Science and Conservation Biology: The dialog of the deaf? *Conservation Biology* 20(3): 681-82.

24. Agrawal, A., and A. Chhatre. 2006. Explaining success on the commons: Community forest governance in the Indian Himalaya. *World Development* 34(1): 149-66.

23. Agrawal, A., and K. Gupta*. 2005. Decentralization and participation: The governance of common pool resources in Nepal's Terai. *World Development* 33(7): 1101-14.

22. Agrawal, A. 2005. Environmentality: Community, intimate government and environmental subjects in Kumaon, India. *Current Anthropology* 46(2), April 2005 (Substantially shortened version in French published in July 2005 as Communautés, gouvernement rapproché et sujets de l'environnement au

Kumaon, Inde. Anthropologie et Societe. 29(2).

21. Agrawal, A. 2003. Sustainable governance of common-pool resources: Context, methods, politics. Annual Review of Anthropology 32: 243-62 (substantially revised version of 2001 paper in World Development)

20. Agrawal, A. 2002. Indigenous knowledges and the politics of classification. International Social Science Journal (September, 173): 325-36. (Published in English, French, Spanish, and Russian) 19. Agrawal, A. 2001. Common property institutions and sustainable governance of resources. World Development 29(10): 1649-72.

18. Agrawal, A. and E. Ostrom. 2001. Collective action, property rights and decentralization in resource use in India and Nepal. Politics and Society 29(4): 485-514.

17. Agrawal, A. 2001. The regulatory community: Decentralization and the environment in the Van Panchayats (Forest Councils) of Kumaon. Mountain Research and Development. 21(3): 208-11.

16. Agrawal, A. 2001. State formation in community spaces: The forest councils of Kumaon. Journal of Asian Studies. 60(1): 1-32.

15. Agrawal, A. and S. Goyal. 2001. Group size and collective action: Third-party monitoring in commonpool resources. Comparative Political Studies 34(1): 63-93.

14. Agrawal, A. 2001. Common property theories and forest management in the Indian Himalaya. Contributions to Indian Sociology (n.s.). 35(2): 181-212.

13. Sawyer, S. and A. Agrawal. 2000. Environmental orientalisms. Cultural Critique. #45: 71-108.

12. Agrawal, A. 2000. Transboundary resources and adaptive management. Environmental Conservation. 27(4): 326-33.

11. Agrawal, A. and J. Ribot. 1999. Accountability in decentralization: A framework with South Asian and West African cases. Journal of Developing Areas 33(Summer): 473-502. (with Jesse Ribot).

10. Agrawal, A. and C. C. Gibson. 1999. Enchantment and disenchantment: The role of community in natural resource conservation. World Development. 27(4): 629-49. (Reprinted in Conflict Prevention and Resolution in Water Systems, edited by Aaron Wolf. Cheltenham, UK: Edward Elgar).

09. Agrawal, A. 1998. Profits on the move: The Raika shepherds of Western India. Human Organization 57(4): 469-79.

08. Agrawal, A. 1997. The politics of development and conservation: The legacy of colonialism. Peace and Change 22(4): 463-82.

07. Agrawal, A. and G. Yadama. 1997. How do local institutions mediate the impact of market and population pressures on resource use. Development and Change 28(3): 435-65.

06. Agrawal, A. 1997. Shepherds and their leaders among the Raikas of India: A principal-agent perspective. Journal of Theoretical Politics 9(2): 235-63 (Reprinted in Polycentric Games and Institutions, edited by Michael D McGinnis. Ann Arbor: University of Michigan Press).

05. Agrawal, A. 1996. Poststructuralist approaches to development: Some reflections. Peace and Change 21(4): 464-77.

04. Agrawal, A. 1996. The Community versus the market and the state. Journal of Agricultural and Environmental Ethics 9(1): 1-15.

03. Agrawal, A. 1995. Dismantling the divide between indigenous and western knowledge. Development and Change. 26(3): 413-39.

02. Agrawal, A. 1994. Mobility and control among nomadic shepherds: The case of the Raikas, II. Human Ecology 22(2): 131-44.

01. Agrawal, A. 1993. Mobility and cooperation among nomadic shepherds: The case of the Raikas. Human Ecology, 21(3): 261-79.

Book Chapters in Refereed Volumes

21. *Wang, J., Brown, D.G., Agrawal, A., 2013. Sustainable governance of the Mongolian grasslands: comparing ecological and social-institutional changes in the context of climate change in Mongolia and Inner Mongolia, China. In: Chen, J., Wan, S., Henebry, G.M., Qi, J., Gutman, G., Sun, G., Kappas, M. (Eds.), Dryland Ecosystems in East Asia: State, Changes, and Future. HEP-De Gruyter. pp. 423–444. 20. Agrawal, A. (Forthcoming). Food security and socio-political stability in South Asia. In Christopher B. Barrett, editor, Food Security and Sociopolitical Stability (Oxford: Oxford University Press).

19. Agrawal, Arun. 2012. Local Institutions and the Governance of Forest Commons. In Paul Steinberg and Stacy D. VanDeveer (eds.) Comparative Environmental Politics: Theory, Practice and Prospects. Pp. 313-340. Cambridge: The MIT Press.

18. Agrawal, A. 2010. Environment, community, government. In *In the Name of Humanity*. Eds. Ilana Feldman and Miriam Ticktin. Pp. 190-217. Durham, NC: Duke University Press.

17. Agrawal, A. and A. Angelsen. 2010. Using community forest management to achieve REDD+ goals. In *Realizing REDD+: National Strategy and Policy Options*. Edited by Arild Angelsen. Pp. 201-11. Bogor, Indonesia: CIFOR.

16. Agrawal, A. 2009. Local institutions and adaptation to climate change. In *Social Dimensions of Climate Change: Equity and Vulnerability in a Warming World*. Eds. Robin Mearns and Andrew Norton. Pp. 173-98. Washington DC: The World Bank.

15. Agrawal, A. 2009. Climate adaptation, local institutions, and rural livelihoods. In Adapting to *Climate Change: Thresholds, Values, Governance*. Eds. W. Neil Adger, Irene Lorenzoni, and Karen L. O'Brien. Pp. 350-67.

14. Agrawal, A. and E. Ostrom. 2008. Decentralization and community-based forestry: Learning from experience. In *Decentralization, Forests, and Rural Communities* (eds.) Ganesh Shivakoti and Edward Webb. New Delhi: Sage Publications.

13. J. Ribot, **A. Agrawal**, and A. Larson. 2007. Recentralizing while decentralizing: How national governments re-appropriate forest resources. In Globalization and Self-Determination. Edited by Gustav Ranis and David Cameron. Pp. 301-31. New York: Routledge.

12. Agrawal, A. 2006. Environmental Transformations and the Values of Modernity. In *Forging Environmentalism: Justice, Livelihood, and Contested Environments*. Pp. 347-59. Edited by Joanne Bauer. Armonk: M.E. Sharpe

11. Agrawal, A. 2005. The Politics of Indigenous Knowledge. In *Australian Indigenous Knowledge and Libraries*, edited by Martin Nakata and Marcia Langton. Kingston: AARL **Agrawal, A**. 2005. The Indian Parliament as an Institution of Accountability. In *India's Political Institutions*. Eds. Devesh Kapoor and Pratap C Mehta. Delhi: Oxford University Press.

10. Sivaramakrishna, K. and **A. Agrawal**. 2003. Regional Modernities. In *Regional Modernities: Cultural Politics of Development*. Eds. K. Sivaramakrishnan and Arun Agrawal. Stanford University Press. Fall 2003. (With K. Sivaramakrishnan).

09. Agrawal, A. 2003. Indigenous Decision-making and Hierarchy in Migrating Pastoralist Collectives: The Raikas of Western India. In *Nomadism in South Asia*, edited by Aparna Rao and Michael Casimir. Pp. 419-47. Delhi: Oxford University Press.

08. Agrawal, A. and N. Yokozuka*. 2002 Environmental Capacity Building: India's Democratic Politics and Environmental Management. In *Capacity Building in National Environmental Policy: A Comparative Study of 17 Countries*, edited by Helmut Weidner and Martin Janicke, pp. 239-60, Berlin: Springer-Verlag.

07. Agrawal, A. 2002. Common Resources and Sustainable Governance. In *Institutions for Managing the Commons*. (eds) Elinor Ostrom, Thomas Dietz, Nives Dolsak, Paul Stern, Susan Stonich, and Elke Weber. Pp. 41-85, Washington DC: NAS Press (longer revised version of the paper published in *World*)

Development under the title "Common Property Institutions and Sustainable Governance of Resources") **06. Agrawal, A**. and K. Sivaramakrishnan. 2001. Agrarian Environments. *In Agrarian Environments: Risks, Representations, and Rule in India*. Eds. Arun Agrawal and K. Sivaramakrishnan. Pp. 1-22. Durham, NC: Duke University Press. (With K. Sivaramakrishnan).

05. Agrawal, A. 2000. Community and Natural Resource Conservation. In *Nature, Production, Power: Towards an Ecological Political Economy*. Pp. 35-55. Eds. Fred Gale and R. Michael McGonigle. London, UK: Edward Elgar.

04. Agrawal, A. 2000. Small is Beautiful but is Larger Better? Forest Management Institutions in the Kumaon Himalaya, India. In Clark Gibson, Margaret A. McKean, and Elinor Ostrom (eds) *People and Forests: Communities, Institutions, and Governance*. pp. 57-85. Cambridge: MIT Press.

03. Agrawal, A. 1999. Community-in-Conservation: Tracing the Outlines of an Enchanting Concept. In Roger Jeffrey and Nandini Sundar (eds) *A New Moral Economy for India's Forests: Discourses of Community and Participation*. pp. 92-108. New Delhi : Sage Publications.

02. Agrawal, A. 1998. Not Having One's Cake, Nor Eating It. Intellectual Property and Indigenous Knowledges. (In German). In Michael Flitner / Christoph Goerg / Volker Heins (eds) Die politische Entwicklung der Natur: Neue Konflikte um Biologische Ressourcen. Opladen: Leske & Budrich.
01. Agrawal, A. 1994. Rules, Rule-making and Rule-breaking. In *Rules and Games*, E. Ostrom, R. Gardner and J. Walker. pp. 267-82. East Lansing: Michigan University Press.

Other Publications

12. Agrawal, Arun and Jesse Ribot. 2014. Are Ostrom's design principles sufficient for design? *Policy Matters*. 19: 112-115.

11. Agrawal, A. and K. Redford. 2007. Conservation and Displacement. In Protected Areas and Displacement. Working Paper #29. New York: Wildlife Conservation Society.

10. Lemos, M. and **A. Agrawal**. 2007. A Greener Revolution in Making? Environmental Governance in the 21st Century." *Environment* 49(5): 36-45.

09. Agrawal, A., G. Yadama, R. Andrade, and A. Bhattacharya. 2006. Decentralization and Environmental Conservation: Gender Effects of Participation in Joint Forest Management. CAPRi Working Paper, Washington DC: CAPRi.

08. Agrawal, A. and K. Redford. 2006. Poverty, Development, and Biodiversity Conservation: Shooting in the Dark? WCS Working Paper # 26. Bronx, NY: WCS.

07. Agrawal, A. 2002. Resource Institutions. Entry in the *International Encyclopedia of the Social and Behavioral Sciences*. Eds. Neil Smelser and Paul Baltes.

06. Agrawal, A. 2000. The Ethnographer's Kaliyugaya: A comment. *Current Anthropology*. **Agrawal, A**. 1997. Community-in-Conservation. Paper prepared for the Ford Foundation and the Conservation and Development Forum. University of Florida, Gainesville.

05. Agrawal, A. 1995. Indigenous and Scientific Knowledge: Some Critical Comments. Article and exchange in *Indigenous Knowledge and Development Monitor* 3(3-4), 4(1-2).

04. Agrawal, A. 1995. Overpopulation=Resource Degradation: An Oversimplistic equation? *Unasylva*. 46(2): 50-58.

03. Agrawal, A. 1994. I Don't Need it But You Can't Have it. Analyzing Institutional Conflicts Between Farmers and Pastoralists. Pastoral Development Network 36a, London: ODI, pp. 36-55.

02. Agrawal, A. 1993. Patenting Gene Fragments. *Economic and Political Weekly* 28(22): 1089-93.
01. Agrawal, A. 1992. The Grass is Greener on the Other Side," IIED Issues Paper, London: Overseas Development Institute.

Under Review --Arun Agrawal, Elisabeth Gerber, Ashwini Chhatre. Motivational Crowding in Sustainable Development Interventions: Assessing the effects of multiple treatments. *American Political Science Review*. Submitted August 2013. Resubmitted Sept 2014.

> --*Peter Newton, *Michelle Fournier, *Meghan Cornwall, *Joel DeBoer, *Derrick Rosenbach, *Brian Schaap, *Ryan Stock, *Jessica Whittemore, *Mark Yoders, Gernot Brodnig, **Arun Agrawal**. Community forestry and REDD+. Professional Geographer. Submitted September 2014.

> --*Brandt, Jodi, Christoph Nolte, and **Arun** Agrawal. Sustainable Forest management, timber production, and forest fragmentation in Congo Basin forests." *Land Use Policy*. Submitted October 2014.

Grants/Honors 2015-18: (Co-PI) Large-Scale Land Transactions as Drivers of Land-Cover Change in Sub-Saharan Africa. NASA. 891K (With Dan Brown, PI)

2014-15: (PI) Integrating forest commons and global datasets. Center for International Forestry Research (CIFOR) 153K

2014-18: (PI) Forest Dependence and effectiveness of forest interventions to support livelihoods. **Department for International Development.** 3.1M.

2014-16 (PI) International Institute for Éducation (State Department). Climate change mitigation, avoided deforestation and commodity agriculture. **Global Innovation Initiative**. 250K (with P. Newton and L. Wollenberg)

2013: (PI): Enhancing causal inference for forest commons outcomes, **Rights and Resources Initiative** (60K)

2013-16: (Research PI) Assessing the impacts of community lands protection (**NAMATI**, 50K, PI: Heather LaRue McGee)

2013-14: (PI) Water Demand Management for Improved Adaptation by Small Farmers in Semi-Arid India, **Engility** (100K, CoPI: Heather LaRue McGee)

2012-13: **SESYNC** Venture and Foundation grants for 5 workshops (Co-PI: Peter Newton) 2011-12: **John Simon Guggenheim Foundation** Fellow.

2011-13: (PI) Studying Poverty, Agricultural Risks and Coping Strategies (SPARCS), **Gates** Foundation, 146K.

2012-2013: (PI): Mapping forest cover and poverty globally. **Rights and Resources Initiative**. 239K 2011-13: (PI), Livelihoods, carbon, and the gavernance of the agro-forest frontier. (**CCAFS**) 145K

2011-14: (UM PI) The Emergence of Adaptive Governance Arrangements for Tropical Forest Ecosystems, **NSF**, 161K (Lead Organization, UC Boulder, Lead PI. Krister Andersson) 2011-14: (Co-PI) Great Lakes Assessment for Adaptation in Cities (GLAA-C), **Kresge** Foundation, (PI Donald Scavia), 600K

2011-14: (PI, with Maria Lemos) Great Lakes Assessment for Adaptation in Cities (GLAA-C), **Graham Institute**, U. Michigan (Co-PIs – Elisabeth Gerber, Larissa Larsen, Marie O'Neill, Rick Rood), 600K 2011-13: (PI): Explaining Protected Area (PA) Outcomes in the Andes-Amazon Region. Gordon and Betty **Moore** Foundation, 399K

2010-13 (Co-PI): Livelihoods, biodiversity, and carbon in forest commons in Tanzania. **3le** (PI – Lauren Persha) 399K

2010-12 (Co-PI): "Do Institutions Affect the Attitudes and Behavior of Constituents? Evidence from an Environmental Management Program in India, **NSF, SBER** (PI- Elisabeth Gerber) 200K.

2010-12 (PI): Passive forest management and forest quality." (Co-PI – Lauren Persha). McIntire-Stennis, USDA, 60K.

2010-11 (Co-PI): Governance, institutions and incentives for climate change mitigation and livelihoods at the forest-farm interface. (PI – Lauren Persha) **CCAFS**.117K.

2009-12 (Co-PI): (Grassland Ecosystems and Societal Adaptations Under Changing Grazing Intensity and Climate on the Mongolian Plateau. **NASA-LCLUC** (PI -- Daniel Brown, Co- Pls -- A. Agrawal, K. Bergen, Y. Xie, W. Welsh (EMU) (900K)

2008-10 (PI): Exploring the Conservation Investments Landscape and the Role of Aid in the effectiveness of Conservation Programs. *Advancing Conservation in a Social Context*, University of Arizona, **MacArthur Foundation** (75K)

2007-13 (PI): "Environmental Governance, Forests, and Logging Concessions: The Effects of Institutional Complexity on Forest Systems, Cover and Change in Central Africa." **NSF-CNH** (Co-PIs – Daniel Brown, Rebecca Hardin, Tom Lyon, and Rick Riolo) (1.5M.)

2007-10 (PI): Ford Foundation. International Forest Resources and Institutions Program. (250K)

2006-11 (PI): Supplemental Grant Proposal on Human Environmental Systems Interactions." (Co-PIs – Daniel Brown and Rick Riolo) **NSF: HSD** (44K)

2006-10 (PI): MacArthur Foundation "Training and Capacity Building for IFRI" (305K).

2005-08 (PI): Program in Human-Environmental Systems Interactions. (with Co- PI's: Dan Brown and Rick Riolo). **NSF-HSD** (497K)

2005-06 (PI): Local Environmental Institutions and Participation. CLOSUP (UM). (24K).

2004-06 (Co-PI): Collaborative Research on Community Management of Forests in Developing Countries. **Ford** Foundation (with Elinor Ostrom (PI) and Krister Andersson as (Co-PI)) (300K)

2003-04: William Dawson Scholar, McGill University, Canada.

2003-2006 (PI): Institutional Regimes and Their Impact on Resource Management Outcomes in Himachal Pradesh, India. **Social Science and Humanities Research Council**, Canada (125K CA\$).

2001-2004 (Co-PI): Globalization and Self Determination. **Carnegie** Foundation (With Geoffrey Garrett (PI) and Gustav Ranis (Co-PI)) (445K)

2000-2001: Senior Faculty Fellowship, Yale University.

2000-05 (PI): Grant from the **MacArthur** Foundation to investigate decentralization and community based resource management (with Elinor Ostrom as Co-PI) (360K)

1999: Arthur Greer Memorial Research Prize (social and natural sciences) junior faculty, Yale University. 1999-2002 (Co-PI): Rethinking Area Studies. Ford Foundation (with Gustav Ranis as PI, and Patricia Pessar and Eric Worby as Co-PIs). (150K)

1999-2002 (PI): Property Rights and Resource Condition. NSF (US\$85K).

1999-2001 (Associate): NGO Research Training Consortium grant, **DfID**, England. (With David Mosse as PI), in collaboration with Center for Development Studies, Swansea. (Withdrawn 2000).

1997-2000 (Co-PI): Grants from **MacArthur Foundation**, **UNDP**, and **FAO** to study Forest Management in Arunachal Pradesh, India and Nepal, and Decentralization of Development Administration in Nepal (Co-PI: Elinor Ostrom, (125K)

1996-97: **Post-doctoral Fellow**, Workshop in Political Theory and Policy Analysis, Indiana University, Bloomington.

1995-96: Senior Scholars fellowship from the **American Institute for Indian Studies** to carry out fieldwork in India on indigenous knowledge.

1995-96: Postdoctoral fellowship, Program in Agrarian Studies, Yale University.

	 1995-98 (PI): Research grant from the Aspen Institute, to support ongoing research on democratic consolidation and voluntarism in India (35K) 1994-95 (PI): Advanced Research Grant from the SSRC, USA, to support research on community-based forest management in the Indian Himalayas. 1993: Best Dissertation in Political Economy Award from the American Political Science Association. 1991-93: Ciriacy-Wantrup post doctoral fellowship from the University of California at Berkeley. 1991-95 (PI): Research Grant from the WWF, USA to study local institutions and resource use in Indian and Bhutanese Himalayas. (25K) 1990: Research Grant from IIED, London to study the migration patterns of Raikas, shepherds in India. 1989-90: Dissertation research grant from Forest History Society. (Postponed until 1990-91). 1989: Dissertation Research Grant from Institute for the Study of World Politics (Not availed).
Fieldwork	 1985-86: 13 months in India among NGOs in seven states. 1987: Two months in India on role of grassroots development organizations in social forestry programs. 1989-90: 12 months in the Thar Desert and the Western Himalayas in India on role of community institution in the use of commonly owned fodder and fuel resources. 1990: 4 months in the Thar Desert in India on the Raika migrant shepherd community. 1990: 3 weeks in Bhutan on indigenous institutions of forest resource use. 1992: 2 months in India among Raika shepherds. 1993: 2 months in Western Himalayas in India on village Forest Councils. 1997: 2 months in India and the Nepal Middle Himalaya. 1998: 3 weeks in Nepal. 2000: 1 month in India and Nepal Middle Himlaya 2007: 1 month in Ethiopia, Vietnam, and Yemen.
Invitations	 2010: Multiple outcomes in social ecological systems. University of Alaska, Fairbanks. 2010: Social Science and people. Institute of Development Studies, Sussex (Keynote) 2010: Decentralization: Mechanisms of influence and control. North American IASC conference, Arizona State University (Keynote) 2009. Climate adaptation and rural livelihoods. Uppsala University, Sweden.2009. National Adaptation Plans of Action. SIDA, Stockholm, Sweden. 2008. Win-Wins or tradeoffs: Carbon, community, and livelihoods on forest commons, Oslo, Norway. RRI Conference on "Forests and Climate Change". 2008. Making people and the environment Uppsala University, Sweden. (Keynote) 2008. Adaptation, and the NAPAs. Tyndall Center, London (conference) 2008. Adaptation, and the NAPAs. Tyndall Center, London (conference) 2008. Adaptation, and the NAPAs. Tyndall Center, London (conference) 2008. Adaptation and Local Institutions, Livelihoods. World Bank 2008. Environmental Governance and legal pluralism. Duke University. 2008. Adaptation to cell Institutions, Rutgers University. 2009. Adaptation to cell mate change. Washington University. 2007. October. Communities and forest commons. ICEN Communities and Environment Conference. Keynote 2007. April. Forest commons, biodiversity, climate change, and livelihoods. Ecology/ Evolution seminar series, University of California, Davis. 2007. Do poople matter in social science analyses of the environment? Paper presented at the University of Otago, New Zealand and Harvard University. Keynote 2007. Community institutions and resource governance. Paper presented at the World Bank, Social Development Conference on Mobilizing Rural Institutions. February 12. 2006. June. Environmental Governance, Community, and Pluralism. Paper presented at the Conference on "Facing social transformations in the 21st century: A conference on inequality,

	2006. Qualitative Analysis of Regression Residuals. CID, Kennedy School, Harvard University.
	2006. Field research, science, and ethics: The role of randomized field trials in research on sustainable
	development. SSRC workshop, Cambridge. 2006. Environmental Governance. CID, Kennedy School, Harvard University.
	2005. Property Rights, Politics, and Renewable Resource Governance in Developing Countries: 1980- 2000. USAID, Washington DC.
	2005. The Making of Environmental Subjects. SED Group. Kennedy School of Government, Harvard University, Cambridge.
	2005: Indigenous Power/Knowledge. Program in Agrarian Studies, Yale University. 2005. Field Research, Science, and Ethics. FES, Yale University.
	2005. Environmentality. World Bank, Washington DC. 2005 January and February: Poverty Alleviation and Biodiverity Conservation, Biodiversity: Science and Governance conference, Paris; MacArthur Foundation, Arizona meeting; University of California, San Diego (April). (Keynote)
	2005 January: Chair and paper presenter: Biodiversity and appropriation regimes, UNESCO, Paris. 2004 June: Indigenous Knowledge and Power, International Conference on Ethnobiology, Kent University, UK. (Keynote)
	2004 June: Decentralization of resource policies in the developing world, 1980-2005. Two seminars on presented at the CHAOS-Cambridge University Press seminar series at University of Washington, Seattle. 2002 October: Making Ethnic Subjects. Laboratory in Comparative Ethnic Processes (LiCEP), UCLA.
Workshops	1997: Agrarian Environments (with K. Sivaramakrishnan, at Yale University). Workshop papers published as <i>Agrarian Environments</i> by Duke University Press. 1998: Regional Modemities in Stories and Practices of Development. (With K. Sivaramakrishnan, at Yale
	University). Workshop papers published as <i>Regional Modemities</i> Stanford University Press. 1999-2000: Decentralization and Development (With Jessica Stites and Maria Murillo at Yale University).
	1999: Training program in Forest Management and Institutions, Kathmandu Nepal. 2000: The Nation-State, Development, and Decentralization (With Gautam Yadama at Washington University, St. Louis).
	2000: Social Movements and Development (with Rachel Seher at Yale University). 2000: Environment and Development: Power and Place (With Eric Worby and Rebecca Hardin at Yale
	University). 2000: Agrarian Studies 2000 Conference (With James C. Scott). 2000-2001: South Asianist Speakers at Yale.
Reports	 Changing futures, choices, and contributions of forests. Background paper prepared for UNFF10, United Nations Forum on Forests New York, UNFF (with John Hudson and Daniel Miller). 66pp. 2013. Economic contributions of forests. Background Paper 1 for UNFF10, United Nations Forum on Forests: New York (with Gill Shepherd, Catherine Benson, Rebecca Hardin, Ben Cashore, Daniel Miller).
	132 pp. 11. 2012. Assessing the Effectiveness of Democratic Accountability Mechanisms in Local Governance. Paper prepared for USAID, Management Systems International (with Jesse Ribot)
	10. 2011. Area-based development, local institutions, and climate adaptation: A comparative analysis from West Africa and Latin America. Social Development Department, World Bank. Washington DC. (With Robin Mearns, Nicolas Perrin, and Minna Kononen.
	09. 2009: Mobilizing rural institutions. Report Prepared for the Social Development Department, World Bank, Washington DC (With N. Perrin).
	08. 2009: Stripe Review of the social sciences in the CGIAR. CGIAR Science Council Secretariat. FAO (with C. Barrett, O. Coomes, and J-P Platteau.
	07. 2008: Adaptation, institutions, livelihoods. Report prepared for the Social Development Department, World Bank, Washington DC.
	06. 2006: Evaluation report for the Rights and Responsibilities Cooperative Agreement between WRI and USAID; and a paper on "The Future of Natural Resource Governance."
	 05. 2003: Wildlife Conservation Society: Assessing the Requirements for Growth in the International Program. Report Submitted to the Wildlife Conservation Society, New York. (With Steven Cobb). 04. 1999: Conservation with Communities: Parks and People Program in Nepal. Report submitted to the

United Nations Development Program, Nepal. 03. 1998: Decentralization in Comparative Perspective: The Participatory District Development Program in Nepal. Report submitted to the United National Development Program, Nepal. 02. 1991: The Grass is Greener on the Other Side, Report submitted to the International Institute for Environment and Development, London. 1989: Voluntary Organizations and Afforestation Programs in India, Report submitted to the Center for Philanthropy and Voluntarism, Duke University. 01. 1986: Effectiveness of Voluntary Organizations in India, report submitted to the Indo-German Social Service Society, India. Service Editor-in-Chief, World Development, January 2013 onward. Research Associate Dean, SNRE, U. Michigan (2009-11) Coordinator: International Forestry Resources and Institutions Program (2006 onward) Lead author, IPCC AR5, chapter on Livelihoods and Poverty (2010-14). Member, NSF-IGERT evaluation panel, 2010 Member, Fellowship selection panel, ACLS, 2009, 2010, 2011 Section Chair, Environmental Policy and Politics, MPSA, 2007 Associate Editor, Journal of Asian Studies (2006-08) Co-Chair, IDRF selection committee, Social Science Research Council (2008); Member in 2006, 2007 Member, Selection panel for fellowships, American Institute of Indian Studies (2005-07) Member, evaluation panel for MacArthur Foundation's Research and Writing grants (2004) Member, Political Science Advisory Panel (2003-05), National Science Foundation Member, Editorial Board, Conservation and Society, Environment, Human Ecology, and Studies in Comparative International Development Member, South Asia Council Program Committee, Association for Asian Studies (2002-04) Member, LiCEP (Laboratory in Comparative Ethnic Processes) 2002-03 Member, Holdeen Board of Advisers, UUA (2001-03) Member, Franklin L. Burdette Pi Sigma Alpha Award Committee to select the best paper presented at APSA, 2001 Member, Executive Council, International Association for the Study of Common Property, 2000-2003 Member of the Scientific Planning Committee of the IDGC project (International Human Dimensions Program), 1997-98 Member of the committee to judge Best Article in Comparative Politics for 1996-97-American Political Science Association (APSA) Member of the executive committee of the Political Economy Section in 1994-95 (APSA) Member of the committee to judge the "Best Dissertation in Political Economy, 1994-95 (APSA) Panel organizer at meetings of the American Political Science Association, American Anthropology Association, Association of American Geographers, International Association for the Study of Common Property, Association for Asian Studies, and South Asian Studies Association Book Reviewer for Chicago, Columbia, Duke, Minnesota, Princeton, and Yale University Presses, and Plenum Press Journal article reviewer for Ambio, American Anthropologist, American Journal of Agricultural Economics, Asian Survey, Bioscience, Biodiversity Conservation, Capitalism, Nature, Socialism, Comparative Political Studies, Conservation Society, Conservation Biology, Conservation Ecology, Cultural Anthropology, Current Anthropology, Development and Change, Economic Geography, Economic Development and Cultural Change, Human Ecology, Human Organization, Journal of Asian Studies, Journal of Conflict Resolution, Journal of Development Studies, Peace and Change, PNAS, Science, Society and Natural Resources, Studies in Comparative International Development, Unasylva, and World Development Grants and proposals reviewer for the American Council of Learned Societies, Conservation International, International Foundation for Science, MacArthur Foundation, National Science Foundation, Research Council of Norway, Shastri Institute, Canada, Social Science and Humanities Research Council, Canada, Social Science Research Council, and Wildlife Conservation Society. Teaching Graduate

International Environmental Governance, Social Theory and the Environment; Politics of Natural Resources; Imperialism, Conservation and Development; Common Property Resources; Politics of

Development; Politics of Agrarian Societies; Analytic Comparative Politics, Environment and Development, Qualitative Research Methods; Politics and Change in South Asia; Writing Research Proposals. <u>Undergraduate</u>

Introduction to Comparative Politics; Politics of Development and Environment; Modernity and Ethnicity; Introduction to Asian Politics; Indian Politics, Environment and Development, Society and Environment, Sustainable Development: Dilemmas of Power and Place; Climate Change and Adaptation. <u>Selected Graduate Supervision (current)</u>

PhD committee (co)-chair for Theodore Lawrence, Baruani Mshale, Jennifer Zavaleta (All SNRE/UM). PhD committee member for Forrest Fleischman (SPEA, Indiana University), Prakash Kashwan (Political Science, Indiana University), Maria Perez (Anthropology), Krista Gullo (SNRE), Jun Wang (SNRE/UM), Xueying Yu (SNRE/UM).